



2132 #4

PATENT
Customer No. 22,852
Attorney Docket No. 7451.0001-18
InterTrust Ref. No.: IT-5.2.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Karl L. Ginter, et al.) Group Art Unit: 2132
)
Application No.: 09/870,801) Examiner: Not Yet Assigned
)
Filed: June 1, 2001)
)
For: SYSTEMS AND METHODS FOR)
SECURE TRANSACTION)
MANAGEMENT AND)
ELECTRONIC RIGHTS)
PROTECTION)

RECEIVED
NOV 15 2001
Technology Center 2100

Commissioner for Patents and Trademarks
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the best of our knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

With the exception of the documents provided herewith, copies of the listed documents were previously submitted in prior application Serial No. 09/342,899, filed June 29, 1999, prior application no. 08/780,545, filed January 8, 1997 (now U.S. Patent No. 5,917,912), and parent application Serial No. 08/388,107, filed February 13, 1995 (now abandoned), upon which Applicants rely for the benefits provided in 35 U.S.C.

§ 120. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L.L.P.
STANFORD RESEARCH PARK
700 HANSEN WAY
PALO ALTO, CALIF. 94304
650-849-8800

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

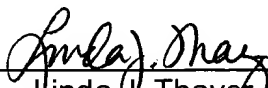
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: November 9, 2001

By: _____


Linda J. Thayer
Reg. No. 45,681

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L.L.P.
STANFORD RESEARCH PARK
700 HANSEN WAY
PALO ALTO, CALIF. 94304
650-849-8800